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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 1789-13502 U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/US2004/038807	INTERNATIONAL FILING DATE 19 November 2004	PRIORITY DATE CLAIMED 19 November 2003
TITLE OF INVENTION NEW SOLVENTS AND NEW METHOD FOR THE SYNTHESIS OF CDSE SEMICONDUCTOR NANOCRYSTALS		
APPLICANT(S) FOR DO/EO/US William Marsh Rice University		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1 <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371		
2 <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371		
3 <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below		
4 <input type="checkbox"/> The US has been elected (Article 31)		
5 <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau)		
b <input type="checkbox"/> has been communicated by the International Bureau		
c <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)		
6 <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))		
a <input type="checkbox"/> is attached hereto		
b <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4)		
7 <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau)		
b <input type="checkbox"/> have been communicated by the International Bureau		
c <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired		
d <input type="checkbox"/> have not been made and will not be made		
8 <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))		
9 <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))		
10 <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
11 <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98		
12 <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included		
13 <input type="checkbox"/> A preliminary amendment		
14 <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76		
15 <input type="checkbox"/> A substitute specification		
16 <input type="checkbox"/> A power of attorney and/or change of address letter		
17 <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825		
18 <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4)		
19 <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)		

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U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO PCT/US2004/038807		ATTORNEY'S DOCKET NUMBER 1789-13502	
20 Other items or information:  Published PCT Application; Search Report; Written Opinion; PCT/IB/304; and PCT/IB/308					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))		\$300		\$ 300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))				\$ 200	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)		\$0			
All other situations		\$200			
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))				\$ 100	
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)		\$0			
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100			
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB		\$400			
All other situations		\$500			
TOTAL OF 21, 22 and 23 =				\$ 600	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j))					
The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
25	- 100 =	/50 =	x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h))				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	46 - 20 =	26	x \$ 50	\$ 1300	
Independent claims	3 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		0	+ \$360	\$ 0	
TOTAL OF ABOVE CALCULATIONS =				\$ 1900	
<input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 Fees above are reduced by 1/2					
SUBTOTAL =				\$ 950	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 950	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property				\$ 0	
TOTAL FEES ENCLOSED =				\$ 950	
				Amount to be refunded:	\$
				Amount to be charged	\$ 950

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- a ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed
- b ☒ Please charge my Deposit Account No 03-2769 in the amount of \$ 950.00 to cover the above fees  
A duplicate copy of this sheet is enclosed
- c ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No 03-2769. A duplicate copy of this sheet is enclosed
- d ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.

SEND ALL CORRESPONDENCE TO:

Timothy S. Westby  
CONLEY ROSE, P. C.  
P. O. Box 3267  
Houston, TX 77253-3267

  
SIGNATURE

Timothy S. Westby

NAME

52,352

REGISTRATION NUMBER